



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael Dean GATTEN

Application Number: 10/622,705

Filed: July 21, 2003

For: SWADDLING BLANKET

Art Unit:

Examiner:

Attorney Docket Number: 0108

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of  
Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22312-1450

Sir:

Attached hereto are Form PTO/SB/08A and Form PTO/SB/08B, each listing documents believed relevant to the subject application. The applicant respectfully requests that the examiner consider these documents and return an initialed copy of each form to the undersigned.

A copy of each listed document is enclosed. Some of the documents may have markings thereon. The applicant does not intend that any significance be attached to these markings. These documents are not necessarily analogous art.


The applicant believes that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedure § 609. If for some reason the examiner believes otherwise, the applicant respectfully requests that the undersigned be contacted so that any deficiencies can be remedied. This disclosure

statement should not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

Most of the citations provided on Form PTO/SB/08B are to web pages previously located by the inventor. Although the applicant has attempted to provide all requested citation information, the authors, titles, and publication dates of certain references could not be obtained. In the case of reference AR, the URL provided on Form PTO/SB/08B is the most current available prior to the filing date of this IDS.

The invention was first made known to the public on August 8, 2002, when the inventor sent evaluation samples to a magazine publisher.

Respectfully submitted,



Date: October 20, 2003

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*SA*

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/622,705	
	Filing Date	July 21, 2003	
	First Named Inventor	Michael Dean GATTEN	
	Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	68	Attorney Docket Number	0108

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Bruce E. Weir Reg. No. 48,191
Signature	<i>Bruce E. Weir</i>
Date	20 October 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (04-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/622,705
Filing Date	July 21, 2003
First Named Inventor	Michael Dean GATTEN
Art Unit	
Examiner Name	
Attorney Docket Number	0108

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,393,612 B1	05-28-2002	Thach	
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		WO 02/00048 A1	01-03-2002	DE RUYTER		
		WO 02/087369 A1	11-07-2002	GERARD		

Examiner  
SignatureDate  
Considered

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Kn wn</b>	
		Application Number	10/622,705
		Filing Date	July 21, 2003
		First Named Inventor	Michael Dean GATTEN
		Art Unit	
		Examiner Name	
Sheet	1	of	3
		Attorney Docket Number	0108

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AA	GERARD, Claudia M., Spontaneous Arousals in Supine Infants While Swaddled and Unswaddled During Rapid Eye Movement and Quiet Sleep, Pediatrics, December 2002, Vol. 110, No. 6.	
	AB	THACH, Bradley Terrill, Causes of Sudden Infant Death Syndrome: Human and Animal Research, Web Page [Online], Washington University in St. Louis. Original publication date unknown [retrieved 9/27/2002]. Retrieved from the Internet. <URL: <a href="http://research.medicine.wustl.edu/ocfr/research.nsf/c517b7f273394130862567970055bff2/ee744c3f0a-b9df148625677d005940df?OpenDocument">http://research.medicine.wustl.edu/ocfr/research.nsf/c517b7f273394130862567970055bff2/ee744c3f0a-b9df148625677d005940df?OpenDocument</a> >.	
	AC	GRANT, Ross, Swaddled Infants Sleep Better, Safer, Health Scout News. Web Page [Online], TeachMoreLoveMore website. December 6, 2002. Retrieved from the Internet. <URL: <a href="http://www.teachmorelovemore.org/ArticlesDetails.asp?articleid=4525">http://www.teachmorelovemore.org/ArticlesDetails.asp?articleid=4525</a> >.	
	AD	AUTHOR UNKNOWN, Swaddling may cut SIDS risk, Web Page [Online], Babycenter.com website. September 16, 2002, Retrieved from the Internet. <URL: <a href="http://www.babycenter.com/refcap/419.html">http://www.babycenter.com/refcap/419.html</a> >.	
	AE	GELLER, David, Should I swaddle my baby? Web Page [Online], Babycenter.com website. Original publication date unknown. Retrieved from the Internet. <URL: <a href="http://www.babycenter.com/expert/10010.html">http://www.babycenter.com/expert/10010.html</a> >.	
	AF	LIN-DYKEN, Deborah, What is "swaddling"? What are the pros and cons? Web Page [Online], Original publication date unknown. Retrieved from the Internet. <URL: <a href="http://www.babycenter.com/expert/7660.html">http://www.babycenter.com/expert/7660.html</a> >.	
	AG	THE BABY CENTER EDITORIAL STAFF, What does it mean to swaddle a baby?, Web Page [Online], Original publication date unknown. [retrieved 9/27/2002], Retrieved from the Internet. <URL: <a href="http://www.babycenter.com/refcap/baby/newborns/125.html">http://www.babycenter.com/refcap/baby/newborns/125.html</a> >.	
	AH	KNOSP, Laura K., Neonatal Pain and Touch, Web Page [Online], Original publication date unknown [retrieved 9/30/2002]. Retrieved from the Internet. <URL: <a href="http://www.nursing.uiowa.edu/sites/PedsPain/Nonpharm/Touchnt.htm">http://www.nursing.uiowa.edu/sites/PedsPain/Nonpharm/Touchnt.htm</a> >.	
	AJ	AUTHOR UNKNOWN, Swaddling Babies May Reduce Cot Death Risks, Web Page [Online], October 15, 2002. Retrieved from the Internet. <URL: <a href="http://www.babyworld.co.uk/news/Oct02/151002 cotdeath.asp">http://www.babyworld.co.uk/news/Oct02/151002 cotdeath.asp</a> >.	

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Art Unit	
Examiner Name	
Attorney Docket Number	0108

Sheet

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of

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AK	AUTHOR UNKNOWN, Swaddling May Help Sleeping Babies Remain On Their Backs, Reducing Risk of Sudden Infant Death Syndrome, Web Page [Online], Healthworld Online. December 2, 2002. Retrieved from the Internet. <URL: <a href="http://www.sciencedaily.com/releases/2002/12/021202072217.htm">http://www.sciencedaily.com/releases/2002/12/021202072217.htm</a> >.	
	AL	AUTHOR UNKNOWN, Swaddling May Help to Prevent SIDS, Web Page [Online], Original publication date unknown [retrieved 9/27/2002]. Retrieved from the Internet. <URL: <a href="http://www.advanceformrc.com/MRdnw.html">http://www.advanceformrc.com/MRdnw.html</a> >.	
	AM	EZELL, Cheryl, SIDS: Sudden and Silent, Web Page [Online], KidsHealth Website. Original publication date unknown [retrieved 12/11/2002]. Retrieved from the Internet. <URL: <a href="http://ssw.unc.edu/fcrp/fp/fp_vol2no1/sudden_and_silent.htm">http://ssw.unc.edu/fcrp/fp/fp_vol2no1/sudden_and_silent.htm</a> >.	
	AN	SOBELLS, Laura, Comment for Auto-responder calming tips, E-mail response from <a href="http://www.calmbaby.com">www.calmbaby.com</a> , November 3, 2002. Retrieved from the Internet. <URL: <a href="http://www.calmbaby.com">http://www.calmbaby.com</a> >.	
	AP	AUTHOR UNKNOWN, Doctors at St. Louis Children's Hospital Suggest Swaddling To Prevent SIDS, Web Page [Online], St. Louis Children's Hospital Website. October 10, 2002. Retrieved from the Internet. <URL: <a href="http://www.stlouischildrens.org/articles/media.asp?ID=1028">http://www.stlouischildrens.org/articles/media.asp?ID=1028</a> >.	
	AQ	TURBO, Richard, Quieting Colic, Web Page [Online], Murphy Sam & Jodi website. Original publication date unknown [retrieved 9/30/2002]. Retrieved from the Internet. <URL: <a href="http://www.murphysamandjodi.com/content-quietingcolic.htm">http://www.murphysamandjodi.com/content-quietingcolic.htm</a> >.	
	AR	AUTHOR UNKNOWN, The Infant Swaddler, Web Page [Online], Comfort Silkie Store website. Original publication date unknown [retrieved 12/11/2002]. Retrieved from the Internet. <URL: <a href="http://www.newcenturystyle.com/comfortsilkie.htm">http://www.newcenturystyle.com/comfortsilkie.htm</a> >.	
	AS	AUTHOR UNKNOWN, NAME UNKNOWN, Web Page [Online], Image of swaddling garment. Original publication date unknown [retrieved 10/9/2002]. Retrieved from the Internet. <URL: <a href="http://store/alpdev.com/store9/images/164.jpg">http://store/alpdev.com/store9/images/164.jpg</a> >.	
	AT	AUTHOR UNKNOWN, NAME UNKNOWN, Web Page [Online], Image of swaddling garment. Original publication date unknown [retrieved 10/9/2002]. Retrieved from the Internet. <URL: <a href="http://www.felogroup.com/eng_product/bd.htm">http://www.felogroup.com/eng_product/bd.htm</a> >.	
	AU	AUTHOR UNKNOWN, CuddleBug Cloverleaf Blankets, Web Page [Online], Sweet Pea Company website. Original publication date unknown [retrieved 10/9/2002]. Retrieved from the Internet. <URL: <a href="http://www.sweetpeacompany.com/html/cloverleaf_blankets.html">http://www.sweetpeacompany.com/html/cloverleaf_blankets.html</a> >.	

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		Examiner Name	
Sheet 3	of 3	Attorney Docket Number	0108

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AV	AUTHOR UNKNOWN, Shop the Shops Online: Kidstuff: Infants and Toddlers, Web Page [Online], Shop the Shops website. Original publication date unknown [retrieved 10/9/2002], Retrieved from the Internet. <URL: <a href="http://www.shoptheshops.com?DeptsSub%20KSI%20newbBurp.asp">http://www.shoptheshops.com?DeptsSub KSI newbBurp.asp</a> >.	
	AW	AUTHOR UNKNOWN, Silkie Swaddling Blanket, Web Page [Online], SilkieSmoother website. Original publication date unknown [retrieved 10/9/2002], Retrieved from the Internet. <URL: <a href="http://www.silkiesmoother.com/silkie%20swaddling%20blanket.html">http://www.silkiesmoother.com/silkie swaddling blanket.html</a> >.	
	AX	AUTHOR UNKNOWN, Cozy Swaddling Wrap, Web Page [Online], Image of swaddling garment. Original publication date unknown [retrieved 10/9/2002], Retrieved from the Internet. <URL: <a href="http://www.kozykidscompany.com/kozy%20wraps.html">http://www.kozykidscompany.com/kozy wraps.html</a> >.	
	AY	AUTHOR UNKNOWN, Swaddleme Blanket, Web Page [Online], Image of swaddling garment. Original publication date unknown [retrieved 10/9/2002], Retrieved from the Internet. <URL: <a href="http://www.greatbabyproducts.com/blanket%20swaddleopen.jpg">http://www.greatbabyproducts.com/blanket%20swaddleopen.jpg</a> >.	
	AZ	AUTHOR UNKNOWN, Huggabebe, Web Page [Online]. Original publication date unknown [retrieved 4/30/2002], Retrieved from the Internet. <URL: <a href="http://www.huggabebe.com">http://www.huggabebe.com</a> >.	

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